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Submiss.  
Decl.

PATENT Robert  
12/27/02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Toshiyuki TOYOFUKU et al.

Serial No.: 10/070,734

Filed: March 12, 2002

For: HOLDING MECHANISM FOR REPLACEMENT INK RIBBON

NOTIFICATION OF PRIOR SUBMISSION OF  
ORIGINAL EXECUTED DECLARATION

Commissioner for Patents  
Box Missing Parts  
Washington, D. C. 20231

Sir:

In response to the Notice to File Missing Parts dated June 7, 2002, applicants' representatives filed all the requested documents with the USPTO on March 25, 2002. Attached hereto are photocopies of applicants' representatives' postcard receipt, Submission of Original Executed Declaration with executed Declaration and the PTO's copy of the Notice to File Missing Parts.

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The PTO is requested to telephone the undersigned should they have any questions regarding this submission.

Respectfully submitted,

PARKHURST & WENDEL, L.L.P.

June 20, 2002  
Date

Attorney Docket No. KYOW:055

PARKHURST & WENDEL, L.L.P.  
1421 Prince Street, Suite 210  
Alexandria, Virginia 22314-2805  
Telephone: (703) 739-0220

Roger W. Parkhurst

Roger W. Parkhurst  
Registration No. 25,177

PLEASE ACCEPT THIS AS  
AUTHORIZATION TO DEBIT  
OR CREDIT FEES TO  
DEP ACCT. 16-0331  
PARKHURST & WENDEL



Atty. Docket No.	Att'y Docket No. KYOW:055		
Description:	Subm of Orig Excl w/ Ex Decl; Asgn Ltr w/ Asgn Ck# 5183 \$170		
Inventor(s):	Toshiyuki TOYOFUKU et al.		
Title (new cases):			
Attorney:	RWP/ame	Serial No.	New Appln PCT/JP01/06106
Paper(s) Filed:	March 25, 2002		
Date(s) Satisfied:	April 12, 2002 MP		
N.D.D.	none		

*[Signature]*

MAR 25 2002  
OIPE JC147  
PATENT & TRADEMARK OFFICE

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Toshiyuki TOYOFUKU et al.

Serial No.: New Appln. (PCT/JP01/06106 filed July 13, 2001)

Filed: March 12, 2002

For: HOLDING MECHANISM FOR REPLACEMENT INK RIBBON

SUBMISSION OF ORIGINAL EXECUTED DECLARATION

Commissioner for Patents  
BOX PCT  
Washington, D. C. 20231

Sir:

The above-identified application was duly filed on March 12, 2002 without an executed Declaration. Accordingly, submitted herewith is a fully executed Declaration of the inventors. Attached is our check for \$130.00 to cover the payment of the fee in accordance with 37 CFR 1.16(e). Also attached is our check for \$40.00 to cover the payment for recording the executed Assignment.

Entry of these documents should complete all of the filing formalities. Accordingly examination and allowance of the application in due course are respectfully solicited.

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All correspondence should be sent to applicants' representative at the address indicated below.

The Commissioner is hereby authorized to charge any additional fee (or credit any overpayment) associated with this communication to Deposit Account No. 16-0331. Two duplicate copies of this letter are attached.

Respectfully submitted,

PARKHURST & WENDEL, L.L.P.

March 25, 2002  
Date

  
Roger W. Parkhurst  
Registration No. 25,177

Attorney Docket No. KYOW:055

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KYOW:055

RWP

Page 1 of 2

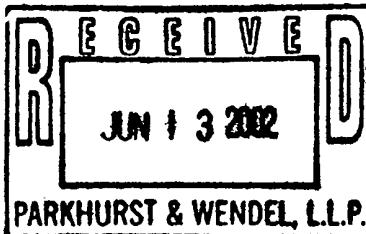


## UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box PCT  
 United States Patent and Trademark Office  
 Washington, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/070,734	Toshiyuki Toyofuku	KYOW:055

Parkhurst & Wendel  
 1421 Prince Street  
 Suite 210  
 Alexandria, VA 22314-2805



## INTERNATIONAL APPLICATION NO.

PCT/JP01/06106

## I.A. FILING DATE

07/13/2001

## PRIORITY DATE

07/13/2000

CONFIRMATION NO. 9710  
**371 FORMALITIES LETTER**



\*OC00000008230256\*

8-7-2002 - Due, fees due  
*8/14 7-24-02*

Date Mailed: 06/07/2002

**NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated Office (37 CFR 1.494):

- U.S. Basic National Fees
- Priority Document
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Information Disclosure Statements
- Request for Immediate Examination

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- \$130 Surcharge for providing the oath or declaration later than the appropriate 20 months months from the priority date (37 CFR 1.492(e)) is required.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

## SUMMARY OF FEES DUE:

BY: RWP DOCKETED 6-14 2002  
 AND ON 6-14 2002  
 (PW)

Total additional fees required for this application is \$130 for a Large Entity:

- \$130 Late oath or declaration Surcharge.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice MUST be returned with the response.*

INDIA L EVANS

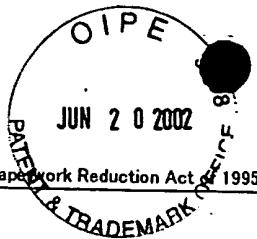
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Telephone: (703) 305-2936

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/070,734	PCT/JP01/06106	KYOW:055

FORM PCT/DO/EO/905 (371 Formalities Notice)



Under the Paperwork Reduction Act of 1995, no persons are required to respond to collection of information unless it displays a valid OMB control number.

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Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Technology Center 2800

Attorney's Ref. No.:

## Declaration and Power of Attorney For Patent Application

特許出願宣言書及び委任状

### Japanese Language Declaration

日本語宣言書

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私は、以下に記名された発明者として、ここに下記の通り宣言する：

私の住所、郵便の宛先そして国籍は、私の氏名の後に記載された通りである。

下記の名称の発明について特許請求範囲に記載され、且つ特許が求められている発明主題に関して、私が最初、最先且つ唯一の発明者である（唯一の氏名が記載されている場合）か、或いは最初、最先且つ共同発明者である（複数の氏名が記載されている場合）と信じている。

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

### HOLDING MECHANISM FOR REPLACEMENT INK RIBBON

上記発明の明細書はここに添付されているが、下記の欄がチェックされている場合は、この限りでない：

\_\_\_\_ の日に出願され、  
この出願の米国出願番号またはPCT国際出願番号は、  
\_\_\_\_ であり、且つ  
\_\_\_\_ の日に補正された出願（該当する場合）

the specification of which is attached hereto unless the following box is checked:

was filed on July 13, 2001  
as United States Application Number or  
PCT International Application Number PCT/JP01/06106  
and was amended on  
\_\_\_\_ (if applicable).

私は、上記の補正書によって補正された、特許請求範囲を含む上記明細書を検討し、且つ内容を理解していることをここに表明する。

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

私は、連邦規則法典第37編規則1.56に定義されている、特許性について重要な情報を開示する義務があることを認める。

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.



Under the Paperwork Reduction Act of 1995, no persons are required to respond to collection of information unless it displays a valid OMB control number.

PTO/SB/106 (8-96)

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Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

## Japan s Languag D claratio (日本語宣言書)

私は、ここに、以下に記載した外国での特許出願または発明者証の出願、或いは米国以外の少なくとも一国を指定している米国法典第35編第365条(a)によるPCT国際出願について、同第119条(a)-(d)項又は第365条(b)項に基づいて優先権を主張するとともに、優先権を主張する本出願の出願日よりも前の出願日を有する外国での特許出願または発明者証の出願、或いはPCT国際出願については、いかなる出願も、下記の枠内をチェックすることにより示した。

### Prior Foreign Application(s)

外国での先行出願

2000-212858 (Number) (番号)	Japan (Country) (国名)	13/July/2000 (Day/Month/Year Filed) (出願日/月/年)
2000-212860 (Number) (番号)	Japan (Country) (国名)	13/July/2000 (Day/Month/Year Filed) (出願日/月/年)

私は、ここに、下記のいかなる米国仮特許出願についても、その米国法典第35編119条(e)項の利益を主張する。

(Application No.) (出願番号)	(Filing Date) (出願日)
-----------------------------	------------------------

私は、ここに、下記のいかなる米国出願についても、その米国法典第35編第120条に基づく利益を主張し、又米国を指定するいかなるPCT国際出願についても、その同第365条(c)に基づく利益を主張する。また、本出願の各特許請求の範囲の主題が米国法典第35編第112条第1段に規定された態様で、先行する米国特許出願又はPCT国際出願に開示されていない場合においては、その先行出願の出願日と本国内出願日またはPCT国際出願日との間の期間中に入手された情報で、連邦規則法典第37編規則1.56に定義された特許性に関わる重要な情報について開示義務があることを承認する。

(Application No.) (出願番号)	(Filing Date) (出願日)
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私は、ここに表明された私自身の知識に係わる陳述が真実であり、且つ情報と信ずることに基づく陳述が、真実であると信じられるることを宣言し、さらに、故意に虚偽の陳述などを行った場合は、米国法典第18編第1001条に基づき、罰金または拘禁、若しくはその両方により処罰され、またそのような故意による虚偽の陳述は、本出願またはそれに対して発行されるいかなる特許も、その有効性に問題が生ずることを理解した上で陳述が行われたことを、ここに宣言する。

I hereby claim foreign priority under Title 35, United States Code, Section 119 (a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed.

Priority Not Claimed  
優先権主張なし

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I hereby claim the benefit under Title 35, United States Code, Section 119 (e) of any United States provisional application(s) listed below.

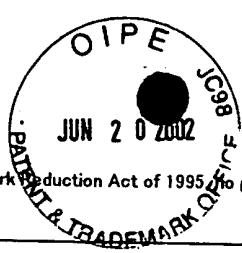
(Application No.) (出願番号)	(Filing Date) (出願日)
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I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s), or 365 (c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of application:

(Status: Patented, Pending, Abandoned) (現況:特許許可、係属中、放棄)
--

(Status: Patented, Pending, Abandoned) (現況:特許許可、係属中、放棄)
--

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.



## Japan's Language Declaration (日本語宣言書)

委任状： 私は本出願を審査する手続を行い、且つ米国特許商標庁との全ての業務を遂行するために、記名された発明者として、下記の弁護士及び／または弁理士を任命する。（氏名及び登録番号を記載すること）

**POWER OF ATTORNEY:** As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. (list name and registration number)

Roger W. Parkhurst, (Reg. 25,177)  
Charles A. Wendel, (Reg. 24,453)  
Lawrence D. Eisen, (Reg. 41,009)

書類送付先：

Send Correspondence to:

Parkhurst, Wendel, L.L.P.,  
Suite 210, 1421 Prince Street, Alexandria,  
Virginia 22314-2805 U.S.A.

直接電話連絡先：（名前及び電話番号）

Direct Telephone Calls to: (name and telephone number)

Parkhurst, Wendel, L.L.P.  
(703) 739-0220

唯一または第一発明者名

Full name of sole or first inventor  
Toshiyuki TOYOFUKU

発明者の署名

日付

Inventor's signature

Date

*Toshiyuki Toyofuku* March 5, 2002

住所

日本国, \_\_\_\_\_

Residence

Shibuya-Ku, Tokyo-To, Japan

国籍

日本

Citizenship

Japan

郵便の宛先

Post Office Address

c/o OLYMPUS OPTICAL CO., LTD., 43-2, Hatagaya 2-Chome,  
Shibuya-Ku, Tokyo-To, JAPAN

第二共同発明者

Full name of second joint inventor, if any

Hiroyuki KANEKO

第二共同発明者の署名

日付

Second inventor's signature

Date

*Hiroyuki Kaneko* February 27, 2002

住所

日本国, \_\_\_\_\_

Residence

Shibuya-Ku, Tokyo-To, Japan

国籍

日本

Citizenship

Japan

郵便の宛先

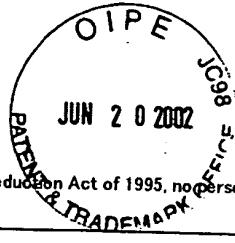
Post Office Address

c/o OLYMPUS OPTICAL CO., LTD., 43-2, Hatagaya 2-Chome,  
Shibuya-Ku, Tokyo-To, JAPAN

（第三以下の共同発明者についても同様に記載し、署名をすること）

(Supply similar information and signature for third and subsequent joint inventors.)

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## Japan's Language Declaration

(日本語宣言書)

第三共同発明者

Full name of third joint inventor, if any

Satoru KATO

第三共同発明者の署名

日付

Third inventor's signature

Date

*Satoru Kato*

February 12, 2002

住所

日本国

Residence

Chiyoda-Ku, Tokyo-To, Japan

国籍

日本

Citizenship

Japan

郵便の宛先

Post Office Address

c/o MITSUBISHI DENKI KABUSHIKI KAISHA, 2-3, Marunouchi 2-Chome, Chiyoda-Ku, Tokyo-To, JAPAN

第四共同発明者

Full name of fourth joint inventor, if any

Yosuke KOBAYASHI

第四共同発明者の署名

日付

Fourth inventor's signature

Date

*Yosuke Kobayashi*

February 12, 2002

住所

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Citizenship

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第五共同発明者

Full name of fifth joint inventor, if any

Hisashi YAMADA

第五共同発明者の署名

日付

Fifth inventor's signature

Date

*Hisashi Yamada*

February 12, 2002

住所

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国籍

日本

Citizenship

Japan

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Post Office Address

c/o MITSUBISHI DENKI KABUSHIKI KAISHA, 2-3, Marunouchi 2-Chome, Chiyoda-Ku, Tokyo-To, JAPAN

第六共同発明者

Full name of sixth joint inventor, if any

Katsuyuki OSHIMA

第六共同発明者の署名

日付

Sixth inventor's signature

Date

*Katsuyuki Oshima*

February 21, 2002

住所

日本国

Residence

Shinjuku-Ku, Tokyo-To, Japan

国籍

日本

Citizenship

Japan

郵便の宛先

Post Office Address

c/o DAI NIPPON PRINTING CO., LTD., 1-1, Ichigaya-Kaga-Cho 1-Chome, Shinjuku-Ku, Tokyo-To, Japan

(第七以下の共同発明者についても同様に記載し、署名をすること)

(Supply similar information and signature for seventh and subsequent joint inventors)

**Japan's Language Declaration**  
(日本語宣言書)

第七共同発明者

Full name of seventh joint inventor, if any

Kozo ODAMURA

第七共同発明者の署名

日付

Seventh inventor's signature

Date

Residence

February 21, 200

住所

日本国, \_\_\_\_\_

Shinjuku-Ku, Tokyo-To, Japan

国籍

日本

Citizenship

Japan

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1-Chome, Shinjuku-Ku, Tokyo-To, Japan

第八共同発明者

Full name of eighth joint inventor, if any

Takayuki IMAI

第八共同発明者の署名

日付

Eighth inventor's signature

Date

Residence

February 21, 200

住所

日本国, \_\_\_\_\_

Shinjuku-Ku, Tokyo-To, Japan

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1-Chome, Shinjuku-Ku, Tokyo-To, Japan

第九共同発明者

Full name of ninth joint inventor, if any

第九共同発明者の署名

日付

Ninth inventor's signature

Date

住所

日本国, \_\_\_\_\_

Residence

国籍

日本

Citizenship

郵便の宛先

Post Office Address

第十共同発明者

Full name of tenth joint inventor, if any

第十共同発明者の署名

日付

Tenth inventor's signature

Date

住所

日本国, \_\_\_\_\_

Residence

国籍

日本

Citizenship

郵便の宛先

Post Office Address

(第十一以下の共同発明者についても同様に記載し、署名をすること)

(Supply similar information and signature for eleventh and subsequent joint inventors.)